

CUSTOMER CLAIM

Claim Number _____

Date Received _____

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

DECEMBER 11, 2008

Irving H. Picard, Esq.
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

Provide your office and home telephone no.

OFFICE: (561) 659-3535

HOME: (561) 659-3535

Taxpayer I.D. Number (Social Security No.)

€



1S0146

MIKE STEIN

~~29 WINDING LANE~~

~~UPPER BROOKVILLE, NY 11545~~

227 VIA TORTUGA

PALM BEACH FL. 33480

(If incorrect, please change)

NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

1. Claim for money balances as of **December 11, 2008**:

a. The Broker owes me a Credit (Cr.) Balance of

\$ 7,129,032.68

b. I owe the Broker a Debit (Dr.) Balance of

\$ _____

- c. If you wish to repay the Debit Balance,
please insert the amount you wish to repay and
attach a check payable to "Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC."

If you wish to make a payment, it must be enclosed
with this claim form. \$ _____

- d. If balance is zero, insert "None." _____

2. Claim for securities as of December 11, 2008:

PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

- | | <u>YES</u> | <u>NO</u> |
|---|------------|-----------|
| a. The Broker owes me securities | <u>✓</u> | _____ |
| b. I owe the Broker securities | _____ | _____ |
| c. If yes to either, please list below: | | |

Date of Transaction (trade date)	Name of Security	The Broker Owes Me (Long)	I Owe the Broker (Short)
11/30/08	STATEMENT ATTACHED TO		
12/9/08	WHICH I ADDED \$500,000 WIRED ON		
	12/9/08. DOCUMENTATION FOR WHICH IS		
	ALSO ATTACHED.		
	I AM ATTACHING ALSO MY 9/30/08		
	QUARTERLY REPORT WHICH SHOWS		
	A NET \$549,800 PREVIOUSLY ADDED		
	TO THIS ACCOUNT EARLIER IN 2008.		
	OTHER DOCUMENTS ARE IN POSSESSION		
	OF BERNARD L. MADOFF.		

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or

information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

	<u>YES</u>	<u>NO</u>
3. Has there been any change in your account since December 11, 2008? If so, please explain.	_____	_____✓
4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?	_____	_____✓
5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?	_____	_____✓
6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	_____	_____✓
7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.	_____	_____✓
8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	_____	_____✓

9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.

NO

✓

Please list the full name and address of anyone assisting you in the preparation of this claim form: _____

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.

Date 2/10/09 Signature Mike Stein

Date _____ Signature _____

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201



BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York □ London

Exhibit B Pg 5 of 11

105 Third Avenue
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(212) 230-2424
800 334-1343
Fax (212) 838-4061

Affiliate
Madoff Securities International Li
12 Berkeley
Mayfair, London W1.
Tel 020 7492

MIKE STEIN

227 VIA TORUGA
PALM BEACH

FL 33480

wired 12/9 + 500,000.00
6629,032.68
7,129,032.68

672,559.2
500,000.00 wired on 12/9/08
72,255.92
46,560.00 short
7,129,032.68
PERIOD ENDING
11/30/08
YOUR ACCOUNT NUMBER
1-S0146-3-0
YOUR TAX PAYER IDENTIFICATION NUMBER
*****2258

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BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT C TO YOUR
			BALANCE FORWARD		339,722.50	
5,964		322	WELLS FARGO & CO NEW	29.800	177,965.20	
4,260		824	HEWLETT PACKARD CO	34.900	148,844.00	
3,692		4648	WAL-MART STORES INC	55.830	206,271.36	
2,414		5150	INTERNATIONAL BUSINESS MACHS	87.270	210,765.78	
8,946		8974	EXXON MOBIL CORP	72.880	652,341.48	
9,798		9476	INTEL CORP	14.510	142,559.98	
4,686		13802	JOHNSON & JOHNSON	59.580	279,378.88	
6,390		18127	J.P. MORGAN CHASE & CO	38.530	246,461.70	
3,408		22453	COCA COLA CO	44.560	152,337.28	
1,988		26779	McDONALDS CORP	55.370	110,154.56	
3,692		31105	MERCK & CO	28.550	105,553.60	
13,490		35431	MICROSOFT CORP	21.810	294,755.90	
6,816		39757	ORACLE CORPORATION	17.300	118,188.80	
2,698		52735	PEPSICO INC	56.410	152,301.18	
1,562		53237	APPLE INC	100.780	157,480.35	
11,502		57061	PFIZER INC	16.940	195,303.88	
2,698		57563	ABBOTT LABORATORIES	54.610	147,444.79	
5,112		61387	PROCTER & GAMBLE CO	64.080	327,780.96	
1,846		61889	ANGEN INC	59.160	109,282.36	
3,550		65713	PHILLIP MORRIS INTERNATIONAL	43.600	154,922.00	
8,520		66215	BANK OF AMERICA	21.590	184,286.00	
2,840		70039	QUALCOMM INC	33.770	96,019.80	
9,230		70541	CITI GROUP INC	12.510	115,936.30	
			CONTINUED ON PAGE 2			



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Exhibit 1 of 11
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PERIOD ENDING
11/30/09

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YOUR ACCOUNT NUMBER
1-50146-3-0

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*****2258

BOUGHT RECEIVED OR LONG	SOLO DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CRI TO YOUR AC
2,130		74365	SCHLUMBERGER LTD	49.480	105,477.40	
5,112		74867	COMCAST CORP	16.510	84,603.12	
			CL A			
10,082		78691	AT&T INC	27	272,617.00	
2,556		79193	CONOCOPHILIPS	52.510	134,317.56	
1,704		83017	UNITED PARCEL SVC INC	52.040	88,744.16	
			CLASS B			
10,366		83519	CISCO SYSTEMS INC	16.730	173,837.18	
2,982		87343	U S BANCORP	29.530	88,177.46	
3,550		87845	CHEVRON CORP	73.430	260,818.50	
1,704		91669	UNITED TECHNOLOGIES CORP	53.160	90,652.64	
18,034		92171	GENERAL ELECTRIC CO	19.630	354,728.42	
4,828		95995	VERIZON COMMUNICATIONS	30.410	147,012.48	
426		96497	GOOGLE	337.400	143,749.40	
	6,425,000	22931	U S TREASURY BILL DUE 2/12/2009	99.936		6,420,
			2/12/2009			
			FIDELITY SPARTAN	DIV		
			U S TREASURY MONEY MARKET			
			DIV 11/12/08			
	82,355	18032	FIDELITY SPARTAN	1		82,
			U S TREASURY MONEY MARKET			
43,676		27401	FIDELITY SPARTAN	1	43,676.00	
			U S TREASURY MONEY MARKET			
			FIDELITY SPARTAN	DIV		
			U S TREASURY MONEY MARKET			
			DIV 11/19/08			
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Exhibit B, Pg 7 of 11
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	43,676	52876	FIDELITY SPARTAN	1		43,676
450,000		57414	U S TREASURY MONEY MARKET			
			U S TREASURY BILL	99.926	449,657.00	
			DUE 03/26/2009			
19,446		61914	3/26/2009			
			FIDELITY SPARTAN	1	19,446.00	
			U S TREASURY MONEY MARKET			
			NEW BALANCE		736,470.53	
10,082			SECURITY POSITIONS	MKT PRICE		
2,698			AT&T INC	28.560		
1,846			ABBOTT LABORATORIES	52.390		
1,562			AMGEN INC	55.540		
8,520			APPLE INC	92.670		
3,550			BANK OF AMERICA	16.250		
10,366			CHEVRON CORP	79.010		
9,230			CISCO SYSTEMS INC	16.540		
3,408			CITI GROUP INC	8.290		
5,112			COCA COLA CO	46.870		
			COMCAST CORP	17.340		
2,556			CL A			
8,946			CONOCOPHILIPS	52.520		
18,034			EXXON MOBIL CORP	80.150		
			GENERAL ELECTRIC CO	17.170		
			CONTINUED ON PAGE 4			

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BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
426			GOOGLE	292.960		
4,250			HEWLETT PACKARD CO	35.230		
9,798			INTEL CORP	13.800		
2,414			INTERNATIONAL BUSINESS MACHS	81.500		
6,390			J.P. MORGAN CHASE & CO	31.660		
4,686			JOHNSON & JOHNSON	58.580		
1,988			MCDONALDS CORP	58.750		
3,692			MERCK & CO	26.720		
13,490			MICROSOFT CORP	20.220		
6,816			ORACLE CORPORATION	16.090		
2,698			PEPSICO INC	56.700		
11,502			PFIZER INC	16.430		
3,550			PHILLIP MORRIS INTERNATIONAL	42.160		
5,112			PROCTER & GAMBLE CO	64.350		
2,840			QUALCOMM INC	33.570		
2,130			SCHLUMBERGER LTD	50.740		
19,446			FIDELITY SPARTAN	1		
			U S TREASURY MONEY MARKET			
2,982			U S BANCORP	26.980		
1,704			UNITED PARCEL SVC INC	57.600		
			CLASS B			
450,000			U S TREASURY BILL	99.971		
			DUE 03/26/2009			
			3/26/2009			
1,704			UNITED TECHNOLOGIES CORP	48.530		
			CONTINUED ON PAGE 5			

IN THIS STATEMENT FOR INCOME TAX PURPOSES



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Exhibit B, Pg 9 of 11
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4,828			VERIZON COMMUNICATIONS	32.650		
3,692			WAL-MART STORES INC	55.890		
5,964			WELLS FARGO & CO NEW	28.890		
			MARKET VALUE OF SECURITIES			
			LONG			
			6,725,592.68			
			96,560			
			<u>6,629,032.68</u>			
			SHORT			

IN THIS STATEMENT FOR INCOME TAX PURPOSES



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BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TAN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
			YEAR-TO-DATE SUMMARY			
			DIVIDENDS			38,
			GROSS PROCEEDS FROM SALES			33,569,



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Exhibit B Pg 11 of 11
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BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACC
			BALANCE FORWARD			
	142	44083	S & P 100 INDEX			339,7
142			NOVEMBER 460 CALL	15.800		224,2
		48409	S & P 100 INDEX			
	142	34513	NOVEMBER 450 PUT	17.800	252,902.00	
142			S & P 100 INDEX	26		369,0
		38838	DECEMBER 430 CALL			
142			S & P 100 INDEX	30	426,142.00	
		43163	DECEMBER 420 PUT			
	142	47488	S & P 100 INDEX	3	42,742.00	
			NOVEMBER 460 CALL			
			S & P 100 INDEX	37		525,2
			NOVEMBER 450 PUT			
			NEW BALANCE			735,4
142	142		SECURITY POSITIONS	MKT PRICE		
			S & P 100 INDEX	23.300		
			DECEMBER 430 CALL			
			S & P 100 INDEX	15.500		
			DECEMBER 420 PUT			
			MARKET VALUE OF SECURITIES			
			LONG			
			234,300.00			
			SHORT			
			330,860.00-			
			234,300			
			96,560.00			

IN THIS STATEMENT FOR INCOME TAX PURPOSES